



DAC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Dennis R. Hayward et al.)
) Group Art Unit: 3613
SERIAL NO.: 09/595,201)
) Examiner:
FILED: June 16, 2000) Pamela Rodriguez
)
FOR: BRAKE ASSEMBLY)

PETITION TO WITHDRAW HOLDING OF ABANDONMENT
UNDER 37 C.F.R. § 1.181

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Dear Sirs:

As Applicants failed to receive an Office Action in the above-identified application, Applicants submit this Petition to Withdraw a Holding of Abandonment under 37 C.F.R. §1.181. Consideration and withdrawal of the holding of abandonment are requested.

REMARKS

In a telephonic conversation on October 26, 2005 between Applicants' undersigned attorney, Amy Bizon-Copp and Supervisory Patent Examiner James McClellan, it was confirmed that Application No. 09/595,201 was abandoned for failure to respond to an Office Action issued October 12, 2001. It was also confirmed that Pam Rodriguez is the Examiner of Record for the above-identified application.

Applicants respectfully submit that the Office Action issued October 12, 2001 was not received. A search of the file jacket and docket records indicate that the Office Action of October 12, 2001 was not received. Consequently, as the Office Action was not received, Applicants did not respond to the Office Action and the application was abandoned for failure to respond to the Office Action. Thus, the holding of abandonment is improper and may not be maintained. In this regard, Applicants respectfully submit evidencing materials as Attachments 1 - 5.

Attachment 1 : (7 pages) A copy of the docket record where the non-received Office Action would have been entered had it been received, illustrating applications with PTO Communication received on October 12, 2001 having three-month due dates of January 12, 2002. (MPEP 711.03(c)(I).(A.))

Attachment 2: (6 pages) A copy of a submission on June 15, 2004 including a Status Request for the above-identified application, a Change of Correspondence Address and Fee Address Indication Form, Certificate of Mailing dated June 15, 2004 for the Change of Address and Fee Address Indication Form and itemized return postcard which accompanied Applicants' submission.

Additionally, a search of the file jacket and docket records indicate that a response to the Status Request was not received.

The above-described documents of the June 15, 2004 submission are verified to include the correct application number, docket number and contact information for Applicants' attorneys. The contact information in the submission, including Address, Telephone Number and Customer Number are the same as effective for this present Petition.

Attachment 3: (4 pages) A copy of a submission on June 21, 2002 including an Information Disclosure Statement dated June 21, 2002, accompanying Form PTO-1449, Certificate of Mailing dated June 21, 2002 and itemized return postcard which accompanied Applicants' submission.

The above-described documents of the June 21, 2002 submission are verified to include the correct application number, docket number and contact information for Applicants' attorneys. The contact information in the submission, including Address, Telephone Number and Customer Number are the same as effective for this present Petition.

Attachment 4: (4 pages) A copy of a facsimile correspondence on October 4, 2001 between one of Applicants' attorneys of record, Daniel Drexler and the Examiner of Record, Pam Rodriguez regarding the original transmittal letter and correspondence address included on the transmittal letter. The correspondence includes a facsimile cover sheet dated October 4, 2001, a copy of the original transmittal letter indicating Applicants' attorneys correspondence address, a copy of the acknowledgment postcard

received and stamped by the USPTO acknowledging receipt of the application filed June 16, 2000 and a fax confirmation indicating that the facsimile correspondence was transmitted successfully (Result indicated as "OK").

The above-described documents of the October 4, 2001 facsimile correspondence are verified to include the correct application number, docket number and contact information for Applicants' attorneys. The contact information in the submission, including Address, Telephone Number and Customer Number are the same as effective for this present Petition.

Attachment 5: (4 pages) A copy of a Notice of Recordation of Assignment Document dated December 29, 2000, a copy of the Recordation Form Cover Sheet stamped by the USPTO with 11/02/2000 and indicating the Fee of \$40.00 and a copy of the executed Assignment Document dated June 30, 2000.

The above-described documents relating to the Recordation of the Assignment dated June 30, 2000 are verified to include the correct application number, docket number and contact information for Applicants' attorneys. The contact information in the submission, including Address, Telephone Number and Customer Number are the same as effective for this present Petition.

The documentary evidence discussed herein and submitted herewith clearly establishes that the Office Action of October 12, 2001 was not received despite correspondence between the USPTO and Applicants attorneys and submissions by Applicants to the USPTO both before and after the Office Action of October 12, 2001. Attachment 5 clearly indicates that the USPTO utilized the correct contact information

for Applicants' attorneys to send the Notice of Recordation of Assignment and was successful at sending correspondence to Applicants attorneys. Attachment 4, dated approximately one week before the issuance of the Office Action of October 12, 2001, clearly shows that both the Examiner of record and Applicants' attorneys utilized correct contact information to exchange pertinent information about the application.

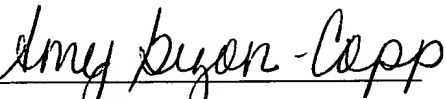
Attachments 2 and 3, dated after the date of the Office Action, clearly indicate continued prosecution of the application by Applicants' attorneys for the application. Finally, Attachment 1 clearly indicates that the Office Action would have been entered in the docket had it been received.

Additionally, a search of the file jacket and docket records indicate that a Notice of Abandonment that Applicants assume should have followed the non-response to the Office Action of October 12, 2001, was also not received. Assuming the Office Action of October 12, 2001 had a 3-month statutory response period, Applicants estimate that a Notice of Abandonment should have been issued approximately 6 months after the Office Action was issued, or April 12, 2002. Attachment 3 submitted to the USPTO by Applicants on June 21, 2002, clearly indicate Applicants continued prosecution of the application.

Accordingly, Applicants herein petition to withdraw the holding of abandonment for the reasons stated above. Pursuant to MPEP § 711.03(c)(I), no fee is required for the present Petition. However, if there are any charges due with respect to this Petition or otherwise, please charge them to Deposit Account No. 06-1130 maintained by Applicant's attorneys.

The Examiner is invited to contact Applicants' attorneys at the below-listed telephone number regarding this Petition or otherwise concerning the present application.

Respectfully submitted,

By: 

Amy Bizon-Copp

Registration No. 53,993

CANTOR COLBURN LLP

55 Griffin Road South

Bloomfield, CT 06002

Telephone: 860-286-2929

Customer No. 23413

Date: November 11, 2005



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ATTACHMENT #1
(7 pages)

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10-Nov-05

PATENT DOCKET

Due Date	PTO Date	Action Type	Action Due	Case	Type	Ctr	Serial No.	Client
1/11/2002	7/11/2001	US-Missing Parts	4th Extension	00850S-US	ORD	US	09/854583	GP4
1/11/2002	1/11/2002	Abandoned	Abandoned	69602EP	ORD	EP	96106295.7	GP4
1/11/2002	7/11/2001	Exam Fee	Exam Fee	97-2039	1 PCT	EP	99943763.5	PES
1/11/2002	7/11/2001	US-Notice of Appeal	3rd Extension	98-1522	1 CPA	US	09/141299	DEP
1/11/2002	7/11/2001	US-Final Office Acti	3rd Extension	98-1522	1 CPA	US	09/141299	DEP
1/11/2002	7/11/2000	Application Status C	Application Status Check	APB-0004	PRI	US	09/613993	APB
1/11/2002	10/11/2001	US-3 Month Office	3 Month Due Date	BAW-0002	PCT	US	09/555944	BAW
1/11/2002	12/11/2001	US-Reexamination	1 Month to Due Date	CAO-0167	R REX	US	90/006118	CAO
1/11/2002	9/11/2001	US-3 Month Office	1st Extension	DAL-0002	2 RCE	US	09/880382	DAL
1/11/2002	10/11/2001	US-3 Month Office	3 Month Due Date	DE1-0039	PRI	US	09/540253	DE1
1/11/2002	1/11/2001	Foreign Filing	Foreign Filing Due	DE2-0028	PRI	US	09/758807	DE2
1/11/2002	1/11/2001	Foreign Filing	Foreign Filing Due	DEP-0027	PRI	US	09/758948	DEP
1/11/2002	1/11/2001	PCT Application Du	PCT Application Due	DEP-0027	ORD	WO	US01/02840	DEP
1/11/2002	1/11/2001	Foreign Filing	Foreign Filing Due	DEP-0124	PRI	US	09/758900	DEP
1/11/2002	10/11/2001	US-Missing Require	1st Extension	FMW-0016	PCT	US	09/913633	FMW
1/11/2002	7/11/2001	US-Missing Parts	4th Extension	GB1-0001	PRI	US	09/836890	GB1
1/11/2002	1/11/2001	Foreign Filing	Foreign Filing Due	GEM-0203	RCE	US	10/034502	GEM



PATENT DOCKET

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1/11/2002	10/11/2001	US-3 Month Office	3 Month Due Date	GEN-0088	PRI	US	09/475508	GEN
1/11/2002	10/11/2001	Search Report IDS	3 Month Due Date	GEN-0153 2	PRI	US	09/621914	GEN
1/11/2002	1/11/2001	Foreign Filing	Foreign Filing Due	GEN-0210	PRI	US	09/758974	GEN
1/11/2002	10/11/2001	US-Base Issue Fee	Base Issue Fee Due	GER-0157	PCT	US	09/581009	GER
1/11/2002	10/11/2001	FILE CIP, CON, DI	Due Date	GER-0157	PCT	US	09/581009	GER
1/11/2002	9/11/2001	US-3 Month Office	1st Extension	HER-0001	PRI	US	09/508446	HER
1/11/2002	10/11/2001	Information Disclosu	Information Disclosure Stmtt	HO1-0001	PRI	US	09/974694	HO1
1/11/2002	7/11/2001	Foreign Filing	Foreign Filing Reminder	I22-0021	PRI	US	09/902813	I22
1/11/2002	10/11/2001	Drawings Due	3 Month Due Date	I32-0008	PRI	US	09/974977	I32
1/11/2002	10/11/2001	Information Disclosu	Information Disclosure Stmtt	I32-0008	PRI	US	09/974977	I32
1/11/2002	10/11/2001	US-Missing Parts	1st Extension	IB2-0020	PRI	US	09/950422	IB2
1/11/2002	10/11/2001	US-2 Month Office	1st Extension	IB2-0020	PRI	US	09/950422	IB2
1/11/2002	10/11/2001	US-2 Month Office	1st Extension	IB8-0001	PRI	US	09/499577	IB8
1/11/2002	5/11/2000	PCT NATIONAL	National Deadline	ITW-0012	ORD	WO	US01/15466	ITW
1/11/2002	5/11/2000	Chapter I Due(Nat'l	Chapter I Due(Nat'l Filing)	ITW-0012	ORD	WO	US01/15466	ITW
1/11/2002	10/11/2001	US-Restriction	2nd Extension	MOD-0005 R	REI	US	09/617825	MOD
1/11/2002	10/11/2001	Search Report IDS	3 Month Due Date	PES-0053 2	PRI	US	09/908484	PES
1/11/2002	10/11/2001	US-Missing Parts	1st Extension	RGP-0052 2	ORD	US	09/950557	RGP
1/11/2002	10/11/2001	Information Disclosu	Information Disclosure Stmtt	SWR-0062	PRI	US	09/958579	SWR
1/12/2002	1/12/2000	WIPO completion D	WIPO completion Follow Up	0001OSWO	ORD	WO	US00/34223	GP4

PATENT DOCKET

Due Date	PTO Date	Action Type	Action Due	Case	Type	Ctr	Serial No.	Client
1/12/2002	10/12/2001	US-Base Issue Fee	Base Issue Fee Due	97-1610	D	DIV	US	09/329038 PRJ
1/12/2002	10/12/2001	FILE CIP, CON, DI	Due Date	97-1610	D	DIV	US	09/329038 PRJ
1/12/2002	12/12/2001	US-Restriction	Due Date - Election	98-1328	1	RCE	US	09/475375 GEN
1/12/2002	7/12/2001	US-3 Month Office	3rd Extension	98-1742	1	RCE	US	09/208073 GP2
1/12/2002	10/12/2001	US-3 Month Office	3 Month Due Date	98-2279		PRI	US	09/237804 YKI
1/12/2002	1/12/2002	Renewal Due	Renewal Due	B26-2146		EPC	ES	90300365.5 B26
1/12/2002	1/12/2002	Renewal Due	Renewal Due	B26-2146		EPC	GB	90300365.5 B26
1/12/2002	1/12/2002	Renewal Due	Renewal Due	B26-2146		EPC	LU	90300365.5 B26
1/12/2002	1/12/2002	Renewal Due	Renewal Due	B26-2146		EPC	GR	90300365.5 B26
1/12/2002	10/12/2001	US-3 Month Office	3 Month Due Date	BAW-0003		PCT	US	09/555977 BAW
1/12/2002	10/12/2001	US-Missing Parts	1st Extension	DE1-0092	2	PRI	US	09/949702 DE1
1/12/2002	7/12/2001	File Application	Filing Reminder	DE1-0145		PRO	US	60/305500 DE1
1/12/2002	7/12/2001	Foreign Filing	Foreign Filing Reminder	DE1-0145		PRO	US	60/305500 DE1
1/12/2002	10/12/2001	Information Disclosu	Information Disclosure Stmtnt	DE2-0042		PRI	US	09/977035 DE2
1/12/2002	10/12/2001	Information Disclosu	Information Disclosure Stmtnt	DE2-0050		PRI	US	09/977108 DE2
1/12/2002	7/12/2001	Foreign Filing	Foreign Filing Reminder	DE6-0001		PRI	US	09/904072 DE6
1/12/2002	9/12/2001	US-Final Office Acti	1st Extension	DEP-0040		PRI	US	09/692298 DEP
1/12/2002	9/12/2001	US-Notice of Appeal	1st Extension	DEP-0040		PRI	US	09/692298 DEP
1/12/2002	7/12/2001	Foreign Filing	Foreign Filing Reminder	DEP-0208		PRI	US	09/903922 DEP
1/12/2002	7/12/2001	Foreign Filing	Foreign Filing Reminder	DEP-0210		PRI	US	09/903983 DEP
1/12/2002	7/12/2001	Foreign Filing	Foreign Filing Reminder	DEP-0217		RCE	US	09/903944 DEP

PATENT DOCKET

Due Date	PTO Date	Action Type	Action Due	Case	Type	Ctr	Serial No.	Client
1/12/2002	7/12/1994	Tax 7.5	Tax 7.5	EBA-0038	PRI	US	08/085986	EBA
1/12/2002	10/12/2001	US-3 Month Office	3 Month Due Date	GEN-0149	PRI	US	09/526945	GEN
1/12/2002	7/12/2001	US-3 Month Office	3rd Extension	GJE-0003	PCT	US	09/701898	GJE
1/12/2002	1/12/2000	Application Status C	Application Sta Follow Up D	GM4-0001	PRI	US	09/481859	GM4
1/12/2002	10/12/2001	US-Base Issue Fee	Base Issue Fee Due	GP2-0012	PRI	US	09/516830	GP2
1/12/2002	10/12/2001	FILE CIP, CON, DI	Due Date	GP2-0012	PRI	US	09/516830	GP2
1/12/2002	10/12/2001	US-Allowability	3MON DWG/FRMLTY	GP2-0012	PRI	US	09/516830	GP2
1/12/2002	10/12/2001	US-Notice of Appeal	3 Month Due Date	GP2-0078	PRI	US	09/538391	GP2
1/12/2002	10/12/2001	US-Final Office Acti	3 Month Due Date	GP2-0078	PRI	US	09/538391	GP2
1/12/2002	10/12/2001	Information Disclosu	Information Disclosure Stmtnt	GP5-0030	PRI	US	09/682749	GP5
1/12/2002	10/12/2001	US-3 Month Office	3 Month Due Date	GP5-0040	PRI	US	09/745456	GP5
1/12/2002	1/12/2002	Other	File Application	GRP-0009	PCT	US	10/030675	GRP
1/12/2002	7/12/1994	Tax 7.5	Tax 7.5	ITW-0027	PRI	US	08/060796	ITW
1/12/2002	10/12/2001	Information Disclosu	Information Disclosure Stmtnt	SHL-0023	PRI	US	09/975867	SHL
1/12/2002	1/12/2001	Foreign Filing	Foreign Filing Due	SIE-0046	PRI	US	09/760270	SIE
1/12/2002	1/12/2002	Foreign Rejection	Foreign Rejection	SIL0004BR	ORD	BR	PI9801709-8	GP4
1/12/2002	1/12/2002	Written Opinion	Written Opinion	SIL0039WC	ORD	WO	US01/00242	GP4
1/12/2002	1/12/2002	Approval of Docume	Approval of Documents	SIL0054EP	PCT	EP	97930797.2	GP4
1/12/2002	10/12/2001	Information Disclosu	Information Disclosure Stmtnt	SUN-0015	PRI	US	09/975861	SUN
1/12/2002	7/12/2001	Foreign Filing	Foreign Filing Reminder	SUR-0008	PRO	US	60/304883	SUR
1/12/2002	7/12/2001	File Application	Filing Reminder	SUR-0008	PRO	US	60/304883	SUR

PATENT DOCKET

Due Date	PTO Date	Action Type	Action Due	Case	Type	Ctr	Serial No.	Client
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1/13/2002	9/13/2001	US-Missing Parts	2nd Extension	0088OS-US	ORD	US	09/924276	GP4
1/13/2002	1/22/2002	Foreign Exam Reque	Foreign 2 Weeks to due date	95-1405	1 ORD	CA	2196236	FAO
1/13/2002	1/13/1999	Deferred Exam	Deferred Exam	97-1970	1 PCT	BR	PI 9904805-1	SIE
1/13/2002	8/13/2001	US-2 Month Office	3rd Extension	98-1469	C CON	US	09/911258	SUR
1/13/2002	7/13/2001	US-3 Month Office	3rd Extension	98-1587	C CON	US	09/803586	GEN
1/13/2002	11/13/2001	US-Missing Parts	Missing Parts Due Date	98-1716	C CON	US	09/981576	CAO
1/13/2002	11/13/2001	US-2 Month Office	2 Month Due Date	98-1716	C CON	US	09/981576	CAO
1/13/2002	8/13/2001	US-2 Month Office	3rd Extension	98-2058	C CON	US	09/682011	GEN
1/13/2002	9/13/2001	US-Missing Parts	2nd Extension	98-2276	2 ORD	US	09/925396	BAO
1/13/2002	9/13/2001	US-2 Month Office	2nd Extension	98-2276	2 ORD	US	09/925396	BAO
1/13/2002	7/13/2001	Foreign Filing	Foreign Filing Reminder	ATI-0002	PRI	US	09/905032	ATI
1/13/2002	7/13/2001	Foreign Filing	Foreign Filing Reminder	ATI-0005	P CIP	US	09/905043	ATI
1/13/2002	7/13/2001	Foreign Filing	Foreign Filing Reminder	ATI-0006	PRI	US	09/905031	ATI
1/13/2002	1/13/2002	Priority Doc. To Be	Priority Doc. To Be Filed	B21-2477	ORD	WO	US01/13925	B21
1/13/2002	1/13/2002	Rule 4.17	Rule 4.17	B21-2477	ORD	WO	US01/13925	B21
1/13/2002	8/13/2001	US-Missing Parts	3rd Extension	BAO-0013	2 ORD	US	09/881982	BAO
1/13/2002	8/13/2001	US-2 Month Office	3rd Extension	BAO-0013	2 ORD	US	09/881982	BAO
1/13/2002	10/13/2000	Letter to Designated	Letter to Designated Countrie	CAO-0120	ORD	WO	US01/32156	CAO
1/13/2002	12/13/2001	US-Restriction	Due Date - Election	DE1-0114	PRI	US	09/947654	DE1

PATENT DOCKET

Due Date	PTO Date	Action Type	Action Due	Case	Type	Ctr	Serial No.	Client
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1/13/2002	9/13/2001	US-3 Month Office	1st Extension	DEP-0028	2 ORD	US	09/749650	DEP
1/13/2002	7/13/2001	US-Notice of Appeal	3rd Extension	DEP-0050	PRI	US	09/515550	DEP
1/13/2002	7/13/2001	US-Final Office Acti	3rd Extension	DEP-0050	PRI	US	09/515550	DEP
1/13/2002	8/13/2001	US-3 Month Office	2nd Extension	GER-0116	PRI	US	09/532553	GER
1/13/2002	7/13/2001	US-3 Month Office	3rd Extension	GP1-0037	C CON	US	09/845642	GP1
1/13/2002	9/13/2001	US-Final Office Acti	1st Extension	GP2-0058	RCE	US	09/438998	GP2
1/13/2002	9/13/2001	US-3 Month Office	1st Extension	GP5-0039	PRI	US	09/815350	GP5
1/13/2002	7/13/1999	Chapter II Due (Nat'l	Chapter II Due (Nat'l Filing)	HO4-0010	ORD	WO	US00/19067	HO4
1/13/2002	8/13/2001	US-Missing Parts	3rd Extension	I20-0008	PRI	US	09/879298	I20
1/13/2002	8/13/2001	US-Missing Parts	3rd Extension	I20-0009	PRI	US	09/879270	I20
1/13/2002	9/13/2001	US-3 Month Office	1st Extension	I22-0011	PRI	US	09/712628	I22
1/13/2002	9/13/2001	US-3 Month Office	1st Extension	I22-0013	D DIV	US	09/790011	I22
1/13/2002	9/13/2001	US-3 Month Office	1st Extension	I24-0030	PRI	US	09/422287	I24
1/13/2002	8/13/2001	US-Missing Parts	3rd Extension	LTO-0001	RCE	US	09/884356	LTO
1/13/2002	1/13/2001	Foreign Filing	Foreign Filing Due	RGP-0281	ORD	EP	01300104.5	RGP
1/13/2002	9/13/2001	US-Missing Parts	2nd Extension	SIE-0068	2 PRI	US	09/873896	SIE
1/13/2002	9/13/2001	US-2 Month Office	2nd Extension	SIE-0068	2 PRI	US	09/873896	SIE
1/13/2002	8/14/2001	US-Restriction	Final Deadline	SIL0027US	ORD	US	09/363210	GP4
1/13/2002	8/13/1999	2nd Opinion from P	2nd Opinion fro Follow Up D	SIL0036WC	ORD	WO	US00/21583	GP4
1/13/2002	9/13/2001	US-3 Month Office	1st Extension	SWR-0017	PCT	US	09/555942	SWR

PATENT DOCKET

Due Date	PTO Date	Action Type	Action Due	Case	Type	Ctr	Serial No.	Client
1/13/2002	7/13/2001	US-3 Month Office	3rd Extension	YKI-0024	RCE	US	09/450491	YKI
1/13/2002	9/13/2001	US-3 Month Office	1st Extension	YKI-0043	RCE	US	09/568897	YKI
1/13/2002	7/13/2000	Application Status C	Application Status Check	YKI-0044	PRI	US	09/615453	YKI
1/13/2002	9/13/2001	US-3 Month Office	1st Extension	ZIP-0007	DES	US	29/112884	ZIP

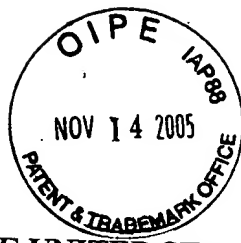


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**ATTACHMENT #2 -
(6 pages)**



Ref. MAR-0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DENNIS HAYWARD

Serial No.: 09/595,201

Filed: June 16, 2000

For: BRAKE ASSEMBLY

)
) Group Art Unit:
)
) Before the Examiner:
)
)
)
)

STATUS REQUEST

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

Please advise as to the status of the above-identified application, as an office action has not yet been received.

If there are any charges with respect to this Status Request or otherwise, please charge them to Deposit Account No. 06-1130 maintained by applicant's attorney.

Respectfully submitted,

CANTOR COLBURN LLP

By

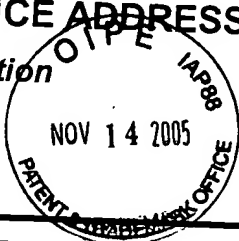
Daniel Drexler
Reg. No. 47,535
Customer No. 23413

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, PO Box 1450, Alexandria, VA 22313-1450 on	
June 15, 2004 (Date of Deposit)	
Daniel Drexler (Name of Person Mailing Paper)	
Signature 	Date 6-15-04

Date: June 15, 2004
Address: 55 Griffin Road South, Bloomfield, CT 06002
Telephone: (860) 286-2929

CHANGE OF CORRESPONDENCE ADDRESS

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Application Number	09/595,201
Filing Date	June 16, 2000
First Named Inventor	Dennis Hayward
Art Unit	
Examiner Name	
Attorney Docket Number	MAR-0003

Please change the Correspondence Address for the above-identified application to:

☒ Customer Number:

OR

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<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City		State		ZIP
Country				
Telephone		Fax		

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the :

- ☐ Applicant/Inventor.
- ☐ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record. Registration Number 47,535
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed Name **Daniel Drexler**

Signature

Date June 15-2004

Telephone **860-286-2929**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Associate Power Of Attorney Or Agent (37 CFR 1.34)
(For Representation Related To A Patent Application)

Docket No.
MAR-0003

In Re Application Of: DENNIS HAYWARD

Serial No.
09/595,201

Filing Date
June 16, 2000

Examiner

Group Art Unit

Invention: BRAKE ASSEMBLY

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GROUP 3600

TO THE COMMISSIONER FOR PATENTS:

Please recognize the following as ☒ Associate Attorney ☐ Associate Agent in this application.

Name: DANIEL DREXLER

Reg. No.: 47,535

Address: CANTOR COLBURN LLP
55 GRIFFIN ROAD SOUTH
BLOOMFIELD, CT 06002, USA

Tel. No. 860-286-2929


Signature of Principal Attorney or Agent of Record

David A. Fox
Reg. No. 38,807
CANTOR COLBURN LLP
55 Griffin Road South
Bloomfield, CT 06002
Tel: 860-286-2929
Customer No. 23413

Registration Number & Address of Principal Attorney or Agent of Record

Dated: June 15 - 2004

I certify that this document is being deposited on
June 15, 2004 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.


Signature of Person Mailing Correspondence

Daniel Drexler
Typed or Printed Name of Person Mailing Correspondence

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): DENNIS HAYWARD

Docket No.

MAR-0003

Serial No.

09/595,201

Filing Date

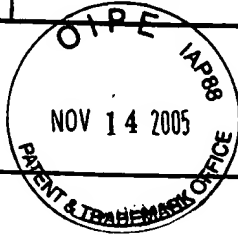
JUNE 16, 2000

Examiner

Group Art Unit

Invention:

BRAKE ASSEMBLY

**RECEIVED**

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I hereby certify that this Change of Correspondence Address and Fee Address Indication Form
(Identify type of correspondence)

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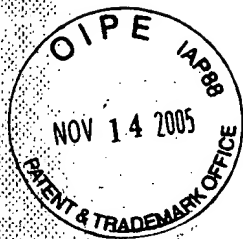
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 15, 2004
(Date)

Daniel Drexler

(Typed or Printed Name of Person Mailing Correspondence)

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THE STAMP OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF:

Attorney Docket No.: MAR-0003

Date Mailed: June 15 - 2004

Applicant: HAYWARD

Serial No.: 09/595,201

Filed: June 16 - 2004

For: BRAKE ASSEMBLY

- | | |
|---|---|
| <input type="checkbox"/> Affidavit or <input type="checkbox"/> Declaration | <input type="checkbox"/> Check for Filing Fee \$ |
| <input type="checkbox"/> Application for Patent including
<u> </u> pages spec., <u> </u> claims | <input type="checkbox"/> Amendment/Response To Office Action |
| <input type="checkbox"/> Declaration and Power of Attorney or <input type="checkbox"/> Oath | <input type="checkbox"/> Brief, <u> </u> copies |
| <input type="checkbox"/> Application for Intent-To-Use TM/SM | <input type="checkbox"/> Assignment, check for \$ |
| <input type="checkbox"/> Application for TM/SM Reg. w/ specimens | <input type="checkbox"/> Assignment Recordation Cover Sheet |
| <input type="checkbox"/> Certificate of Express Mail 37 CFR 1.10
Express Mail No. <u> </u> | <input type="checkbox"/> Power of Attorney by Assignee |
| <input type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> Certificate of Mailing Under 37 CFR 1.8(a) |
| <input type="checkbox"/> PTO Form 1449, <input type="checkbox"/> publications enclosed | <input type="checkbox"/> Declaration Under Section 8 & 15 |
| <input checked="" type="checkbox"/> Power of Attorney (<u>Associate</u>) | <input type="checkbox"/> Drawings: <u> </u> sheets
<input type="checkbox"/> Formal or <input type="checkbox"/> Informal |
| <input type="checkbox"/> Req. for Filing <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional
Application Under 37 CFR 1.60 | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Verified Statement to Establish Small Entity Status | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Request for Drawing Correction | <input type="checkbox"/> Petition for Extension of Time (<u> </u>) months
<input type="checkbox"/> with check for \$ |
| <input type="checkbox"/> Transmittal Letter (in duplicate) | <input type="checkbox"/> Priority Document |
| <input checked="" type="checkbox"/> Other: <u>Change of Correspondence Address, Fee Address Indication</u>
<u>Form, Status Request</u> | <input type="checkbox"/> Renewal Application TM/SM |

ATTACHMENT #3
(4 pages)

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **DENNIS HAYWARD, ET AL**

Docket No.

MAR-0003

Serial No.

09/595,201

Filing Date

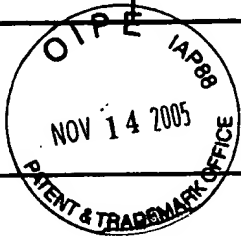
6/16/2000

Examiner

Group Art Unit

3613

Invention:

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GROUP 3600I hereby certify that this **INFORMATION DISCLOSURE STATEMENT AND POSTCARD***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on **JUNE 21, 2002***(Date)***NIDIA M. DERAS***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

(Use several sheets if necessary)

09/595,201

3613

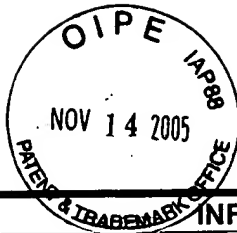
U.S. PATENT DOCUMENTS

GROUP 3600

YES	NO
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DATE CONSIDERED

SHEET 1 OF 1



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INFORMATION DISCLOSURE STATEMENT

GROUP 3400

ATTY. DOCKET NUMBER MAR-0003 SERIAL NUMBER 09/595,201 FILED 06/16/2000FIRST INVENTOR: DENNIS HAYWARD TITLE BRAKE ASSEMBLY

EXAMINER

ART UNIT 3613

1. ☒ Applicant submits herewith a copy of (a) attached form PTO-1449, which lists all patents, publications, applications, or other information submitted for consideration by the Office; (b) a legible copy of each document required by 37 C.F.R. §1.98(b)(2).
2. ☐ Applicant herein apprises the Patent Office of prior art in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. §120. The Serial Number of the parent application is U.S. Application _____ filed _____ and entitled _____.
The publications cited therein are listed on attached Form PTO-1449. In accordance with 37 C.F.R. §1.98(d) copies of the listed publications are not required. ☐ Additional parent patent applications are listed on an attached sheet.
3. ☐ In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below):
☐ A copy of a translation of one or more non-English document, or portion thereof, is provided herewith;
☐ A concise explanation is (check at least one box below):
☐ provided in the accompanying foreign or international search report; ☐ incorporated into the specification; and/or ☐ provided herewith.

37 C.F.R. §1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONAL STAGE

4. ☐ Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, no fee or certification under 37 C.F.R. §1.97(e) is required.

37 C.F.R. §1.97(b)(3), (b)(4) – PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION

5. ☒ Since this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114, no fee or certification under 37 C.F.R. §1.97(e) is required.

37 C.F.R. §1.97(c) – AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWANCE

6. ☐ Since this Information Disclosure Statement is being filed outside of the period provided for in 37 C.F.R. §1.97(b), but before the mailing date of a Final Rejection or Notice of Allowance, this submission is being accompanied by (one of the following boxes must be checked):
- a. ☐ the fee required under 37 C.F.R. §1.97(c)(2) and specified in 37 C.F.R. §1.17(p).
- b. ☐ the statement specified in 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked).

37 C.F.R. §1.97(d) – AFTER FINAL REJECTION OR ALLOWANCE

7. ☐ Since this Information Disclosure Statement is being filed after the period specified in 37 C.F.R. §1.97(c), but on or before payment of the issue fee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). (Box 8 or 9 must be checked.)
8. ☐ In accordance with 37 C.F.R. §1.97(e)(1), Applicant's attorney certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. ☐ The foreign or international search report(s) is/are enclosed.
9. ☐ In accordance with 37 C.F.R. §1.97(e)(2), Applicant's attorney certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the information disclosure statement.
10. ☒ In the event the Commissioner of Patents deems that any additional fee is required under 37 C.F.R. §§ 1.16 or 1.17 in connection with this application, Applicant's attorneys authorize that such fee be charged to Deposit Account No. 06-1130.
11. Consideration of this Information Disclosure Statement is respectfully requested.

Name:	LISA A. BONGIOVI	Registration Number	48,933
Signature	<i>Lisa Bongiovi</i>	Date	JUNE 21, 2002

CERTIFICATE OF MAILING OR TRANSMISSION: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington DC 20231, or [] facsimile transmitted to the U.S. Patent and Trademark Office to Facsimile No. _____ on the date shown below.

Name	NIDIA M. DERAS	Date	6/21/2002
Signature	<i>Nidia M. Deras</i>		



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THE STAMP OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF:

Attorney Docket No.: NAK-0003 Date Mailed: June 21, 2002

Applicant: Dennis Ward Filed: 6/16/02

Serial No.: 091595, 201

For: Brake Assembly

<input type="checkbox"/> Affidavit or <input type="checkbox"/> Declaration	<input type="checkbox"/> Check for Filing Fee \$
<input type="checkbox"/> Application for Patent including <u>pages spec., claims</u>	<input type="checkbox"/> Amendment/Response To Office Action
<input type="checkbox"/> Declaration and Power of Attorney or <input type="checkbox"/> Oath	<input type="checkbox"/> Brief, <u>copies</u>
<input type="checkbox"/> Application for Intent-To-Use TM/SM	<input type="checkbox"/> Assignment, check for \$
<input type="checkbox"/> Application for TM/SM Reg. w/specimens	<input type="checkbox"/> Assignment Recordation Cover Sheet
<input type="checkbox"/> Certificate of Express Mail 37 CFR 1.10	<input type="checkbox"/> Power of Attorney by Assignee
<input type="checkbox"/> Express Mail No. _____	<input checked="" type="checkbox"/> Certificate of Mailing Under 37 CFR 1.8(a)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Declaration Under Section 8 & 15
<input checked="" type="checkbox"/> PTO Form 1449, <input checked="" type="checkbox"/> publications enclosed	<input type="checkbox"/> Drawings: _____ sheets
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> <input type="checkbox"/> Formal or <input type="checkbox"/> Informal
<input type="checkbox"/> Req. for Filing <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Application Under 37 CR 1.60	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Verified Statement to Establish Small Entity Status	<input type="checkbox"/> Petition for Extension of Time (____) months
<input type="checkbox"/> Request for Drawing Correction	<input type="checkbox"/> <input type="checkbox"/> with check for \$
<input type="checkbox"/> Transmittal Letter (in duplicate)	<input type="checkbox"/> Priority Document
<input type="checkbox"/> Other: _____	<input type="checkbox"/> Renewal Application TM/SM

ATTACHMENT #4
(5 pages)



CANTOR COLBURN LLP
INTELLECTUAL PROPERTY ATTORNEYS
55 Griffin Road South
Bloomfield, CT 06002 USA
tel: (860) 286-2929
fax: (860) 286-0115

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FACSIMILE TRANSMITTAL SHEET

DATE: October 04, 2001

TO: **Pam Rodriguez**

COMPANY: **USPTO**

FAX NO.: **703-308-3519**

TEL. NO.: _____

FROM: **Daniel F. Drexler**

OUR REF: **MAR-0003**

YOUR REF: **09/595,201**

TOTAL NUMBER OF PAGES SENT
(INCLUDING THIS COVER SHEET): **4**

COMMENTS: Per your request, please find attached a copy of our original transmittal letter indicating our correspondence address and a copy of our acknowledgment postcard received and stamped by the USPTO acknowledging receipt of the transmittal letter. Please contact me if I can be of any further assistance. Dan

If there are any problems with this transmission,
please call (860) 286-2929 and ask for Daniel Drexler

IMPORTANT: This message is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that reading, disseminating, distributing or copying this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us at the above address via the U.S. Postal Service. Thank you.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: DENNIS RICHARD HAYWARD, ET AL.

FOR: BRAKE ASSEMBLY

LETTER

Box Patent Application
The Assistant Commissioner of Patents
and Trademarks
Washington, D.C. 20231

"Express Mail" mailing label number 2540879
Date of Deposit JUNE 16, 2000 US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Jennifer Matson
(Typed or printed name of person mailing paper or fee)
Jennifer Matson
(Signature of person mailing paper or fee)

Dear Sir:

The attached application for U.S. Patent is being filed under the provisions of Rule 1.53 of the Rules of Practice in Patent and Trademark cases. Accordingly, we are enclosing the following documents at this time:

- (a) Specification and abstract (19 pages) and Claims (19 claims);
- (b) Drawings (3 sheets); and
- (c) Preliminary Amendment (2 pages)

The filing fee, Declaration and Assignment documents will be submitted later under the provisions of 37 CFR 1.53.

Please be advised that the inventor of the invention disclosed in the attached specification and claims is: Dennis Richard Hayward, residing at 9 Northcliffe, Eaton Bray, Dunstable, Bedfordshire, LU6 2DE, England; Paul Harris, residing at 68 Orion Way 49, Leighton Buzzard, Bedfordshire, LU7 8XJ, England; and David Bonnieman, residing at Buckland Road, Buckland, Aylesbury, Buckinghamshire HP22 5LL, England.

Please also be advised that the undersigned has been authorized to file this application on their behalf.

If there are any additional charges with respect to this patent application or otherwise, please charge them to Deposit Account No. 06-1130 maintained by Applicants' attorney.


Please send correspondence to:

CANTOR COLBURN LLP
55 Griffin Road South
Bloomfield, CT 06002
(860) 286-2929

Respectfully submitted,

DENNIS RICHARD HAYWARD, ET AL.

CANTOR COLBURN LLP
Applicants' Attorneys

By: 

Edward J. Ellis
Registration No. 40,389
Customer No. 23413

Date: June 16, 2000



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MAR-0003

Marks

In Re Application of Dennis Richard Hayward, et al.
For: Brake Assembly

Transmittal Letter (2 pages); Specification (19 pages);
Claims (19); Drawings (3 sheets); Preliminary Amendment
(2 pages)

Mailed to the U.S. Patent and Trademark Office via Express
Mail Label #EL564087975US on June 16, 2000.

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CANTOR COLBURN LLP



CANTOR COLBURN LLP
55 GRIFFIN ROAD SOUTH
BLOOMFIELD, CT 06002



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CANTOR COLBURN LLP
INTELLECTUAL PROPERTY ATTORNEYS **GROUP 3600**
55 Griffin Road South
Bloomfield, CT 06002 USA
tel: (860) 286-2929
fax: (860) 286-0115

===== FACSIMILE TRANSMITTAL SHEET =====

DATE: October 04, 2001

TO: **Pam Rodriguez**COMPANY: **USPTO**FAX NO.: **703-308-3519**

TEL. NO.: _____

FROM: **Daniel F. Drexler**OUR REF: **MAR-0003**YOUR REF: **09/595,201**

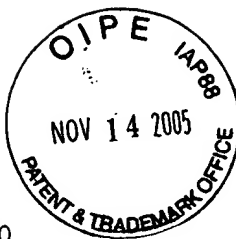
TOTAL NUMBER OF PAGES SENT
(INCLUDING THIS COVER SHEET): **4**

COMMENTS: Per your request, please find attached a copy of our original transmittal letter indicating our correspondence address and a copy of our acknowledgment postcard received and stamped by the USPTO acknowledging receipt of the transmittal letter. Please contact me if I can be of any further assistance. Dan



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ATTACHMENT #5
(4 pages)



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231

DECEMBER 29, 2000

PTAS

CANTOR COLBURN LLP
JUAN C. VILLAR
55 GRIFFIN ROAD SOUTH
BLOOMFIELD, CT 06002



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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

GROUP 3800

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/26/2000

REEL/FRAME: 011194/0987
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HAYWARD, DENNIS RICHARD

DOC DATE: 06/30/2000

ASSIGNOR:

HARRIS, PAUL

DOC DATE: 06/30/2000

ASSIGNOR:

BONNIEMAN, DAVID

DOC DATE: 06/30/2000

ASSIGNEE:

LUCAS INDUSTRIES LIMITED
STRATFORD ROAD
SOLIHULL, UNITED KINGDOM B90 4LA

SERIAL NUMBER: 09595201

FILING DATE: 06/16/2000

PATENT NUMBER:

ISSUE DATE:

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JAN 08 2001

CANTOR COLBURN LLP

MAR-0003

011194/0987 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11-03-2000



101504289

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please

original documents or copy thereof.

1. Name of conveying party(ies):

Dennis Richard Hayward
Paul Harris
David Bonnieman

10-26-00

2. Name and address of receiving party(ies):

Name: Lucas Industries Limited

Internal Address: Stratford Road, Solihull,

B90 4LA, United Kingdom

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: June 30, 2000

Street Address:

NOV 21 2005

City:

GROUP 3600

State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/595,201

B. Patent No.(s)

26 2000

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Juan C. Villar

Internal Address: Cantor Colburn LLP

Street Address: 55 Griffin Road South

City: Bloomfield State: CT ZIP: 06002

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Juan C. Villar, Reg. No. 34,271

Name of Person Signing

Signature

October 23, 2000

Date

Total number of pages including cover sheet, attachments, and document:

2

United States of America
ASSIGNMENT

WHEREAS,
Inventor(s) hereinafter
referred to as ASSIGNOR(s)

- (1) **Dennis Richard HAYWARD**
- (2) **Paul HARRIS**
- (3) **David BONNIEMAN**
- (4)
- (5)
- (6)

has/have invented certain new and useful improvements entitled

BRAKE ASSEMBLY

for which invention application for Letters Patent of the United States of America is about to be made.; (b) has been made on June 16, 2000 under serial No.

AND WHEREAS



Lucas Industries Limited
Stratford Road
Solihull
B90 4LA
United Kingdom

(herein referred to as ASSIGNEE(s)) is/are desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

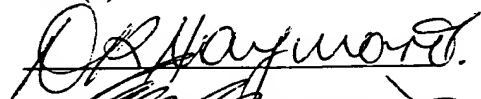

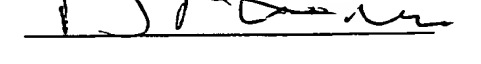
NOW THEREFORE, be it known by all whom it may concern, that in consideration of the payment by ASSIGNEE(s) to ASSIGNOR(s) of the sum of ONE DOLLAR (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(s) hereby sells, assigns and transfers to ASSIGNEE(s) for the territory of the United States of America, and not elsewhere, the full and exclusive right, title and interest to the said invention, as fully set forth and described in the specification, prepared and executed by ASSIGNOR(s) on the **30** day of **JUNE** 2000 preparatory to obtaining Letters Patent therefor; said invention, application and Letters Patent to be held and enjoyed by the ASSIGNEE(s) to the full end of the term for which said Letters Patent is granted, as fully and entirely as the same would have been held by ASSIGNOR(s) had this assignment and sale not been made.

In witness whereof, executed by the undersigned this **30** day of **JUNE** 2000

WITNESSES:

INVENTOR(S):

- (1) 
- (2) 
- (3) 
- (4) _____
- (5) _____
- (6) _____

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **Dennis R. Hayward et al.**

Docket No.

MAR-0003

Application No.

09/595,201

Filing Date

06/16/2000

Examiner

Pamela Rodriguez

Customer No.

23413

Group Art Unit

3613Invention: **BRAKE ASSEMBLY****RECEIVED****NOV 21 2005****GROUP 3600**

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